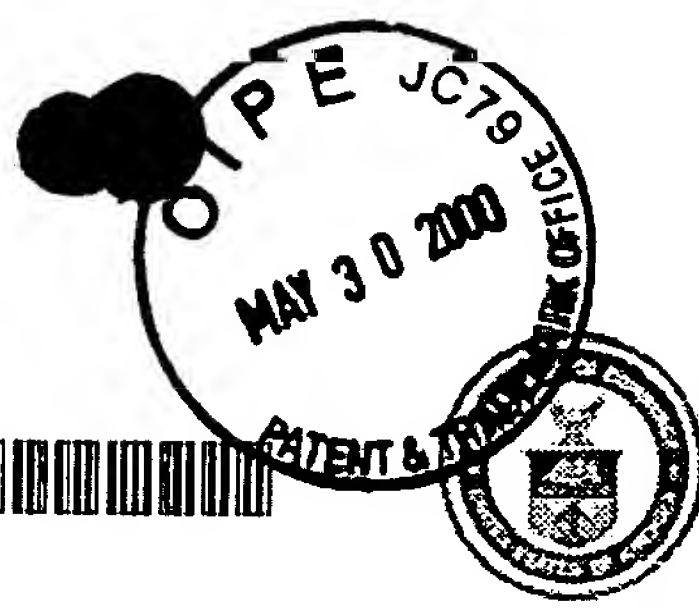


## FORMALITIES LETTER



\*OC000000005014139\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/493,692	01/28/2000	Bahram Javidi	-

Cantor Colburn LLP  
55 Griffin Road South  
Bloomfield, CT 06002

Date Mailed: 03/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.  
■ \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 838.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/02/2000 ABOITOM 00000013 09493692

01 FC:201	345.00 OP
02 FC:205	65.00 OP
03 FC:203	9.00 OP
04 FC:299	35.00 OP

CANTOR COLBURN LLP

Section 19

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Small Entity)</b>			<b>Docket No.</b> 98-2091	
In Re Application Of: <b>BAHRAM JAVIDI</b>				
<b>Serial No.</b> 09/493,692	<b>Filing Date</b> January 28, 2000	<b>Examiner</b> Not Assigned		<b>Group Art Unit</b>
Invention: <b>OPTICAL SECURITY USING FOURIER PLANE ENCODING</b>				
<p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u></p> <p>This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>March 28, 2000</u>. <i>Date</i></p> <p>Enclosed herewith for filing are the following:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). <b>(REQUIRED)</b></li><li><input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.</li><li><input checked="" type="checkbox"/> <u>1</u> verified small entity declaration(s)<ul style="list-style-type: none"><li><input checked="" type="checkbox"/> is/are attached.</li><li><input type="checkbox"/> was/were filed on _____</li></ul></li><li><input type="checkbox"/> A separate request for refund.</li><li><input type="checkbox"/> Other (list):<div style="border: 1px solid black; height: 100px; width: 100%; margin-top: 5px;"></div></li></ul>				

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
98-2091

In Re Application Of: **BAHRAM JAVIDI**

Serial No.  
09/493,692

Filing Date  
January 28, 2000

Examiner  
Not Assigned

Group Art Unit

Invention: **OPTICAL SECURITY USING FOURIER PLANE ENCODING**

299 = 35

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$380.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 3 \_\_\_\_\_

☒ Total number of claims = 21 \$9.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$454.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$454.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
98-2091

In Re Application Of: **BAHRAM JAVIDI**

Serial No.  
09/493,692

Filing Date  
January 28, 2000

Examiner  
Not Assigned

Group Art Unit

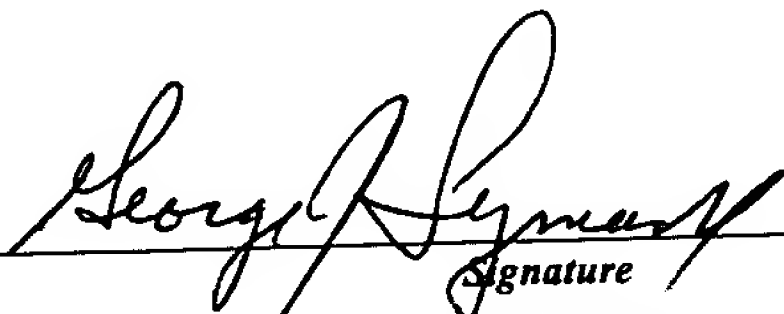
Invention: **OPTICAL SECURITY USING FOURIER PLANE ENCODING**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$454 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 06-1130  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 06-1130  
A duplicate copy of this sheet is enclosed.

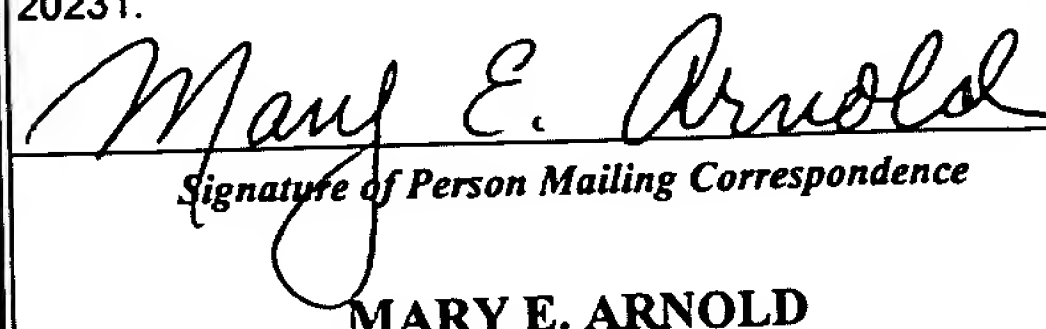
  
Signature

George J. Lyman, Reg. No. 44,884  
Cantor Colburn LLP  
55 Griffin Road South  
Bloomfield, CT 06002

Dated: May 24, 2000

CC:

I certify that this document and fee is being deposited on MAY 24, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

MARY E. ARNOLD

Typed or Printed Name of Person Mailing Correspondence